



11-19-04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Examiner: Unassigned
)	
Jefferson C. EMERY, et al.)	Group Art Unit: 1645
)	
Application Serial No. 10/659,825)	Attorney's Docket No. 39766-0113A
)	
Filed: September 10, 2003)	Customer No. 25213
)	
For: PROTEIN PURIFICATION)	
)	
)	
)	

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DATE MAILED: NOVEMBER 17, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) |
| | -- OR -- |
| <input type="checkbox"/> | (2) It is being filed within 3 months of entry of a national stage |
| | - OR - |
| <input checked="" type="checkbox"/> | (3) It is being filed before the mail date of the first Office Action on the merits |
| | -- OR -- |

- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided; or
- ☐ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$0.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39766-0113A)**.

Respectfully submitted,

Dated: November 17, 2004

By: 

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INFORMATION DISCLOSURE STATEMENT PTO-1449			ATTY. DOCKET NO.: 39766-0113A		SERIAL NO.: 10/659,825		
			APPLICANT : Jefferson C. EMERY, et al.				
			FILING DATE: September 10, 2003		GROUP: 1645		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,433,144	8/13/2002	Joseph P. Morris, et al.	530	351	January 12, 1999	
	6,339,142	1/15/2002	Carol D. Basey, et al.	530	387.3	October 3, 2000	
	6,417,355	7/09/2002	Robert Dale Chapman, et al.	540	466	April 11, 2001	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.